

TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

Application Number	09/393,441
Filing Date	September 8, 1999
First Named Inventor	Christen M. Anderson et al.
Art Unit	1653
Examiner Name	Sheridan K. Snedden
Attorney Docket No.	660088.420C1

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Firm Name	Seed Intelled	ctual Property Law Group PLLC			Customer Number 00500		
Signature	SM	<u>a                                    </u>	Vica -				
Printed Name	Stephen J. F	Roser 	nman, Ph.D.	·			
Date	March 1, 200	)5		Reg. N	10.	43,058	
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with the United St addressed to: Ma	CERTIFICATE OF TRANSMISSION/MAILING  I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.						
Signature		(					
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This obligation of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete displication for processing and submitting the completed application for the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patient and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Signature	Shi	1. Rom		stration No. rney/Agent)	43,058	Telephone	206-622-4900			
Name (Print/Type)	Stephen J. R					Date	March 1, 2005			



**PATENT** 

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 223-13-1450.

March 1: 2005

Jason Añover

Date

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Christen M. Anderson et al.

Application No. : 09/393,441

Filed: September 8, 1999

For : PRODUCTION OF ADENINE NUCLEOTIDE TRANSLOCATOR

(ANT), NOVEL ANT LIGANDS AND SCREENING ASSAYS

**THEREFOR** 

Examiner : Sheridan K. Snedden

Art Unit : 1653

Docket No. : 660088.420C1 Date : March 1, 2005

Mail Stop AF Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

### Commissioner for Patents:

In accordance with 37 CFR 1.56 and 1.97 through 1.98, applicants wish to make known to the U.S. Patent and Trademark Office the references set forth on the attached Form PTO-1449. Copies of the cited U.S. patents and published patent applications are not required and accordingly have not been provided. Copies of all other cited references are enclosed. As to any reference cited, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103,

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and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 CFR 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

Please acknowledge receipt of this Fourth Supplemental Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

A fee of \$180 is submitted in accordance with 37 CFR 1.97(c). The Director is authorized to charge any other fees which may be required, or credit any overpayment to Deposit Account No. 19-1090.

Respectfully submitted,
Seed Intellectual Property Law Group PLLC

Stephen J. Rosenman, Ph.D. Registration No. 43,058

**Enclosures:** 

Postcard Check Form PTO-1449 Cited References (148)

701 Fifth Avenue, Suite 6300 Seattle, Washington 98104-7092

Phone: (206) 622-4900 Fax: (206) 682-6031

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

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INFO NATION DISCLOSURE STATEMENT
(Use several sheets if necessary)

ATTY, DOCKET NO.	APPLICATION NO.
660088.420C1	09/393,441
APPLICANTS	
Christen M. Anderson et al.	
FILING DATE	GROUP ART UNIT
September 8, 1999	1653

### **U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	АА	5,011,912	04/30/91	Hopp et al.	530	387	
	AB	5,447,852	09/05/95	Friedman et al.	435	69.7	
	AC	5,523,227	06/04/96	Bram et al.	435	240.2	
	AD	5,773,225	06/30/98	Luban et al.	435	7.8	
	AE	5,780,235	07/14/98	Bandman et al.	435	6	
	AF	5,968,802	10/19/99	Wang et al.	435	233	
	AG	6,013,858	01/11/00	Wallace et al.	800	18	
	АН	6,140,067	10/31/00	Anderson et al.	435	26	
	Al	6,150,415	11/21/00	Hammock et al.	514	588	
	AJ	6,183,948	02/06/01	Marban et al.	435	4	

## FOREIGN PATENT DOCUMENTS

	DOCUMENT	DATE	COUNTRY	TRANS	LATION
	NUMBER	DATE	COUNTRY	YES	NO
AK	WO 98/06737	02/19/98	WIPO		
AL	WO 98/19714	05/14/98	WIPO		
AM	WO 99/07845	02/18/99	WIPO		
AN	0 723 018 B1	08/11/99	ЕР		
АО	WO 00/19200	04/06/00	WIPO		

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AP	Ausubel, F., et al. (eds.), Short Protocols in Molecular Biology, 3 <sup>rd</sup> Ed., John Wiley & Sons, Inc. New York, 1997, Chapter 13, pp. 1-35, and Chapter 16, pp. 1-7, 16-23, 25-31, 37-53, and 58-62.
AQ	Battini et al., "Molecular Cloning of a cDNA for a Human ADP/ATP Carrier Which is Growth-Regulated," J. Biol. Chem. 262(9):4355-4359, March 25, 1987
AR	Beavis et al., "On the Regulation of K <sup>+</sup> Uniport in Intact Mitochondria by Adenine Nucleotides and Nucleotide Analogs," <i>J. Biol. Chem. 268(2):</i> 997-1004, January 15, 1993.

**EXAMINER** 

DATE CONSIDERED

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).



U.S DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. APPLICATION NO. 660088.420C1 09/393,441

APPLICANTS
Christen M. Anderson et al.

FILING DATE GROUP ART UNIT
September 8, 1999 1653

IATION DISCLOSURE STATEMENT (Use several sheets if necessary)

## **U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	ВА	6,211,440	04/03/01	Briggs et al.	800	301	
	ВВ	6,316,219	11/13/01	Krief et al.	435	69.1	
	вс	2001/0044144	11/22/01	Anderson et al.	435	183	

### FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	TRANSI	LATION
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вс	WO 00/26370	05/11/00	WIPO		
BD	WO 01/02598 A2	01/11/01	WIPO		
BE	WO 01/32876 A2	05/10/01	WIPO		

# OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

BF	Bernardi et al., "Recent Progress on Regulation of the Mitochondrial Permeability Transition Pore; a Cyclosporin-Sensitive Pore in the Inner Mitochondrial Membrane," <i>J. of Bioenergetics and Biomembranes</i> 26(5):509-517, 1994.
BG	Beutner et al., "Complexes Between Porin, Hexokinase, Mitochondrial Creatine Kinase and Adenylate Translocator Display Properties of the Permeability Transition Pore. Implication for Regulation of Permeability Transition by the Kinases," <i>Biochim. Biophys. Acta 1368</i> :7-18, 1998.
ВН	Brustovetsky and Klingenberg, "Mitochondrial ADP/ATP Carrier Can Be Reversibly Converted Into a Large Channel by Ca <sup>2+</sup> ," <i>Biochemistry 35</i> :8483-8488, 1996.
ВІ	Cacalano et al., "Evidence for a Functional Receptor for Cyclosporin A on the Surface of Lymphocytes," <i>Proc. Natl. Acad. Sci. USA</i> 89:4353-4357, May 1992.
BJ	Carra and Schleif, "Variation of Half-Site Organization and DNA Looping by AraC Protein," <i>The EMBO J. 12</i> (1):35-44, 1993.
вк	Chung, A., et al., "Transcriptional Control of Nuclear Genes for the Mitochondrial Muscle ADP/ATP Translocator and the ATP Synthase Beta Subunit. Multiple Factors Interact with the OXBOX/REBOX Promoter Sequences," <i>J. Biol. Chem.</i> 267(29):21154-61, October 15, 1992.
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**EXAMINER** 

DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

Sheet 3 of 14 FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY, DOCKET NO. APPLICATION NO. (REV.7-80) PATENT AND TRADEMARK OFFICE MAR. 0 3 2005 660088.420C1 09/393,441 **APPLICANTS** Christen M. Anderson et al. COSURE STATEMENT sheets if necessary) FILING DATE GROUP ART UNIT September 8, 1999 1653 U.S. PATENT DOCUMENTS \*EXAMINER FILING DATE
IF APPROPRIATE DOCUMENT NUMBER DATE NAME CLASS SUBCLASS INITIAL CA FOREIGN PATENT DOCUMENTS TRANSLATION DOCUMENT COUNTRY NUMBER YES NO CB OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.) Crompton et al., "Cyclophilin-D Binds Strongly to Complexes of the Voltage-Dependent CC Anion Channel and the Adenine Nucleotide Translocase to form the Permeability Transition Pose," Eur. J. Biochem. 258:729-735, 1998. Cruz-Orive and Weibel, "Recent Stereological Methods for Cell Biology: a Brief Survey," CD Am. J. Physiol. 258:L148-L156, 1990. Doerner et al., "Tissue-Specific Transcription Pattern of the Adenine Nucleotide CE Translocase Isoforms in Humans," FEBS Letters 414(2):258-262, 1997. Dörner et al., "Transcription of the Adenine Nucleotide Translocase Isoforms in Various CF Types of Tissues in the Rat," Biochimica et Biophysica Acta 1417:16-24, 1999. Dümmler et al., "Regulation of Adenine Nucleotide Translocase and Glycerol 3-Phosphate CG Dehydrogenase Expression by Thyroid Hormones In Different Rat Tissues," Biochem. J. *317*:913-918, 1996. Ernster and Schatz, "Mitochondria: A Historical Review," J. Cell. Biol. 91(3 pt.2):227s-СН 255s, December 1981. Faure-Vigny et al., "Expression of Oxidative Phosphorylation Genes in Renal Tumors and CI Tumoral Cell Lines," Molecular Carcinogenesis 16:165-172, 1996. Fiermonte, G., et al., Abundant Bacterial Expression and Reconstitution of an Intrinsic CJ Membrane-transport Protein from Bovine Mitochondria," Biochem. J. 294 (Pt 1):293-9, August 15, 1993. Fischer and Schmid, "The Mechanism of Protein Folding. Implications of in Vitro CK Refolding Models for de Novo Protein Folding and Translocation in the Cell," Biochemistry 29(9):2205-2212, March 1990. Franke and Luban, "Cyclophilin and GAG in HIV-1 Replication and Pathogenesis,"

**EXAMINER DATE CONSIDERED** 

\* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

Advances in Experimental Medicine and Biology 374:217-228, 1995.

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Sheet <u>5</u> of <u>14</u> FORM PTO U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. APPLICATION NO (REV.7-80) PATENT AND TRADEMARK OFFICE 660088.420C1 09/393,441 **APPLICANTS** Christen M. Anderson et al. DISCLOSURE STATEMENT veral sheets if necessary) FILING DATE GROUP ART UNIT September 8, 1999 1653 U.S. PATENT DOCUMENTS FILING DATE
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\* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609 Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s)

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY, DOCKET NO. APPLICATION NO. 660088.420C1 09/393,441 **APPLICANTS** Christen M. Anderson et al. FILING DATE GROUP ART UNIT

1653

September 8, 1999

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\* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s)

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Sheet 9 of 14 APPLICATION NO FORM PTO-144 U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO (REV.7-80) PATENT AND TRADEMARK OFFICE 09/393,441 660088.420C1 **APPLICANTS** Christen M. Anderson et al. SCLOSURE STATEMENT al sheets if necessary) GROUP ART UNIT FILING DATE September 8, 1999 1653 U.S. PATENT DOCUMENTS \*EXAMINER FILING DATE **SUBCLASS** DOCUMENT NUMBER DATE NAME CLASS INITIAL IF APPROPRIATE ΙA FOREIGN PATENT DOCUMENTS TRANSLATION DOCUMENT DATE COUNTRY NUMBER YES NO 1B OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.) Kroemer, "The Proto-Oncogene Bcl-2 and Its Role in Regulating Apoptosis," Nature IC Medicine 3(6):614-620, June 1997. Lau and Chan, "Efflux of Adenine Nucleotides in Mitochondria from Rat Tumor Cells of ID Varying Growth Rates," Cancer Research 44:4458-4464, October 1984. Liu et al., "Induction of Apoptotic Program in Cell-Free Extracts: Requirement for dATP ΙE and Cytochrome c," Cell 86:147-157, July 12, 1996. Louvi and Tsitilou, "A cDNA Clone Encoding the ADP/ATP Translocase of Drosophila ΙF Melanogaster Shows a High Degree of Similarity with the Mammalian ADP/ATP Translocases," J. Mol. Evol. 35:44-50, 1992. Lunardi and Attardi, "Differential Regulation of Expression of the Multiple ADP/ATP IG Translocase Genes in Human Cells," The J. of Biological Chemistry 266(25):16534-16540, September 5, 1991. Lunardi et al., "The Multiple ADP/ATP Translocase Genes are Differentially Expressed ΙH During Human Muscle Development," The J. of Biological Chemistry 267(22):15267-15270, August 5, 1992. Lutz and Bujard, "Independent and Tight Regulation of Transcriptional Units in II Escherichia coli Via the LacR/O, the TetR/O and AraC/I<sub>1</sub>-I<sub>2</sub> Regulatory Elements," Nucleic Acids Research 25(6):1203-1210, 1997. Mahajan et al., "Bcl-2 and Bax Interactions in Mitochondria Probed with Green IJ Fluorescent Protein and Fluorescence Resonance Energy Transfer," Nature Biotechnology 16:547-552, 1998. Majima et al., "Binding of the Fluorescein Derivative Eosin Y to the Mitochondrial

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Sheet 11 of 14 APPLICATION NO FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY, DOCKET NO. (REV.7-80) PATENT AND TRADEMARK OFFICE 660088.420C1 09/393,441 APPLICANTS ORMATION DECLOSURE STATEMENT Christen M. Anderson et al. Sheets if necessary) **GROUP ART UNIT** FILING DATE September 8, 1999 1653 **U.S. PATENT DOCUMENTS** FILING DATE
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